



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT TRANSMITTAL FORM

*JFW*

Applicant(s): Lim et al.  
Serial No.: 10/664,721  
Filed: September 18, 2003  
For: Inlet Unit Of Vacuum Cleaner  
Art Unit: 1744  
Examiner: Not Yet Assigned  
Confirmation No.: 4379  
Customer No.: 27,623 Attorney Docket No.: 0001437/3062 USU

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

We are enclosing:

1. Information Disclosure Statement with copies of references;
2. PTO Form 1449;
3. Transmittal letter in duplicate; and
4. Postcard.

Please charge any additional fees or credit any such fees, if necessary to Deposit Account No. 01-0467 in the name of Ohlandt, Greeley, Ruggiero & Perle. A duplicate copy of this sheet is attached.

Respectfully submitted,

Date: September 24, 2004

*Paul D. Greeley*  
\_\_\_\_\_  
Paul D. Greeley  
Reg. No. 31,019  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
One Landmark Square, 10<sup>th</sup> Floor  
Stamford, Connecticut 06901-2682  
(203) 327-4500

**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP AMENDMENT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON September 24, 2004.

Lesley-Jean Hill  
NAME

Lesley-Jean Hill  
SIGNATURE

September 24, 2004  
DATE



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**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In accordance with applicant's duty of disclosure under 37 C.F.R. §1.56, please find attached hereto form PTO-1449 listing information which may be material to the patentability of this application, filed concurrently herewith. This Information Disclosure Statement is being filed:

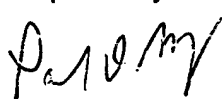
- ☐ Within three (3) months of the filing date of the national application;
- ☐ Within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;
- ☒ Before the mailing date of a first Office Action on the merits;
- ☐ After the filing date or date of first Office Action, but before the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);

- \_\_\_\_\_ After the filing date or date of first Office Action, but before the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);
- \_\_\_\_\_ After the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by a certification as specified in 37 C.F.R. §1.97(e) and the fee set forth in 37 C.F.R. §1.17(p); and
- \_\_\_\_\_ After the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to or subsequent to the payment of the Issue Fee and provided that this I.D.S. is accompanied by a certification as specified in 37 C.F.R. §1.97(e) and the fee set forth in 37 C.F.R. §1.17(p).
- \_\_\_\_\_ Filing with RCE Under 37 CFR 1.114.

We are also enclosing a copy of each of the following citations that are listed in form PTO-1449. Japan Publication Nos. 2002112930; EP 1 314 388; WO 02/11596; British Search Report based on British Patent Application No. 0403175.3.

It should be understood that attention has been called to the references that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed references and to make the usual careful independent search for other prior art that may be pertinent.

Respectfully submitted,



September 24, 2004

Paul D. Greeley  
Reg. No. 31,019  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
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CUSTOMER NO.: 27623

Sheet 1 of 1

FORM PTO-1449

Docket Number (Optional)

Application Number

0001437/3062USU

10/664,721

Applicant

Lim et al

Filing Date

September 18, 2003

Group Art Unit

1744

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

## U. S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|---------------------|--------------------|------|------|-------|----------|-------------------------------|
|                     |                    |      |      |       |          |                               |
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## FOREIGN PATENT DOCUMENTS

|  | DOCUMENT<br>NUMBER | DATE      | COUNTRY        | CLASS | SUBCLASS | Translation |    |
|--|--------------------|-----------|----------------|-------|----------|-------------|----|
|  |                    |           |                |       |          | YES         | NO |
|  | 2002112930         | 8/16/2004 | Japan          |       |          |             | x  |
|  | 1 314 388          | 1/8/2000  | United Kingdom |       |          | x           |    |
|  | 02/11596           | 2/14/2002 | PCT            |       |          |             | x  |
|  |                    |           |                |       |          |             |    |
|  |                    |           |                |       |          |             |    |

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

|  |  |
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|  | British Search Report issued July 5, 2004 based on British Patent Application No. 0403175.3 filed February 12, 2004. |
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.